

1 PETITION to the Commissioner begins on page 3 of this paper.

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3 AMENDMENTS to the Specification, including Background, Summary,
4 Drawing Description, and Description of the Preferred Embodiments
5 begin on page 10 of this paper.

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7 AMENDMENTS to the Claims begin on page 13 of this paper.

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9 REMARKS begin on page 18 of this paper.

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1 Petition to the Commissioner

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3 Applicant hereby petitions the Commissioner of Patents, under
4 37 CFR 1.181 et seq., or any other CFR section deemed appropriate
5 by the Commissioner, and authorizes payment of fees under 37 CFR
6 1.17 et seq., or any other CFR section deemed appropriate, with
7 authorization to charge Account No. 010428 for any fees deemed due
8 in connection with the filing of this document in the U.S. Patent
9 and Trademark Office, to reassign the present application to an
10 examiner and a supervisor possessing at minimum level: 1) a basic
11 understanding of electronic communications communicating
12 informational content with various forms for synchronous and
13 asynchronous signaling; 2) a basic understanding of electronic
14 communications art parlance; and 3) an ability to properly reject
15 claims based upon teachings and suggestions of cited references.
16

17 NOW COMES, the applicant, with kind due respect, requesting a
18 change in the examiner as there appears recurring unsupported
19 rejections based upon an apparent inability to understand basic
20 electronic communications communicating informational content with
21 various forms for synchronous and asynchronous signaling, to
22 understand basic electronic communications art parlance, and to
23 properly reject claims based upon teachings and suggestions of
24 cited references rather than unsupported and forbidden hindsight
25 reconstruction as the basis of claim rejection. This case was filed
26 on May 23, 2000, and after six years of applicant taking the time
27 to instruct the examiner as to certain aspects of electronic
28 communications, electronic parlance, and forbidden hindsight

1 reconstruction, the examiner persists in his rejections and
2 failures to appropriately amend the specification, at unnecessary
3 and large waste of governmental and applicant resources. After six
4 years, of fruitless instruction to the examiner, enough is enough.
5 It was hoped that the RCE would have provided a fresh examination.

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7 A very brief history and statement of this case seems
8 appropriate at this juncture. The invention uses a sigma delta
9 modulator to generate a binary signal having pulse width indicating
10 an analog value input. This binary signal is transmitted as an
11 asynchronous signal in binary form still having a pulse width
12 representing an analog value of the analog input signal where the
13 sigma delta modulator drives an optical laser transmitter
14 transmitting the same pulse width representing a signal to an
15 optical laser receiver having a filter for determining the pulse
16 width, and hence, the analog value. As such, the transmitted signal
17 is asynchronous, in that, it does not use error correcting codes,
18 bit transitions, or framing data bits during optical transmission
19 as an advancement in the art. The background of specifications
20 clearly sets forth these synchronization problems that the present
21 invention solves.

22
23 The terms synchronous and asynchronous are well understood by
24 anyone, that is, anyone skilled in the art. Even college students
25 in their first introductory course in electronic communications
26 understand the difference between synchronous and asynchronous
27 communications, yet, the examiner seems to lack this basic
28 understanding. Claims should be couched in positive language, that

1 is, stated as to what the invention is, and not what the invention
2 is not. The original claims did not use the term asynchronous, a
3 lack of synchronism, which feature solves the problems as stated in
4 the background. The asynchronous communication aspect is inherent
5 in the functional combination of the original claimed inventions.

6
7 After the examiner rejected the claims as to obviousness that
8 includes both a consideration of the problem solved as well as the
9 solution thereto, the specification and the claims were amended to
10 specifically require the examiner to consider the problem solved.
11 The examiner did not take this helpful instructive amendment as
12 intended, but rather, made what is believed to be rather dubious
13 assertions that the specification does not teach asynchronous
14 communications, yet, the specification clearly states that the
15 communication signals are transmitted without frames that is one of
16 many kinds of synchronous signaling. The original claims did not,
17 properly, use such language as "without framing bits". To help the
18 examiner more fully understand the invention, as understanding
19 seemed lacking, the specification was amended to specifically
20 include the terms synchronous and asynchronous. The claims were
21 amended, without an apparent need to do so, accepting of course, as
22 an aid to the examiner, to more fully understand the invention to
23 include "without framing" in positive language, that is with the
24 term " frame asynchronous", so as to focus attention as to the
25 novel aspects. Notwithstanding this painful instruction and adding
26 unnecessary claim limitations, the examiner persisted in rejecting
27 the claims under 35 USC 112, apparently, failing to exhibit a
28 minimum understanding of the art and its parlance.

1 What more could one do to aid the examiner, to assist the
2 examiner in understanding a basic concept of electronic
3 communications?
4

5 With respect to claim 1, the base independent claim, as well
6 as claim 11, the second independent claim, the examiner rejects
7 under 35 USC 103 as unpatentable as obvious. The rejections are
8 primarily based on two isolated cited references, Beauducel in view
9 of Palmer, having no relevant connection between them, other than,
10 both use a sigma delta modulator for modulation and that, Beauducel
11 teaches electrical and optical communications and Palmer
12 specifically teaches optical laser transmission. Both of these two
13 references teach synchronous communications. How possibly could the
14 cited references, using or suggesting synchronous optical
15 communications, be used to reject claims properly based upon
16 asynchronous communications? Actually, where all of the cited
17 references teach away from the present invention, it is strong
18 evidence of nonobviousness.
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20 The combination of these two references, teaching or
21 suggesting the use of a sigma delta modulator for synchronous
22 communication to arrive at the claim invention is forbidden
23 hindsight reconstruction. The sigma delta modulator in the present
24 application uses a local clock to modulate the pulse width with a
25 time duration length, that is, the horizontal pulse width
26 indicating an analog input value, the sought after information to
27 be asynchronously communicated. The cited references use a local
28 clock signal to modulate vertically the communication signal having

1 bit transitions, and hence, are synchronous communications. There
2 is a clear difference. Additionally, there are no practical
3 combinations of these cited references to arrive at the claimed
4 combination, one of the hallmarks of forbidden hindsight
5 reconstruction.

6
7 The examiner cites Beauducel Col 3 lines 56-62 as teaching
8 "the modulated binary laser signal having a pulse width having a
9 duration representative of the analog input signal (inherent in
10 modulation)". Yet, Col 3 lines 45-62 explicitly teach the
11 "synchronization element 5" that is local clock for providing
12 transitions, and teach "the coding circuit 6" that is a multi level
13 code for providing a coded signal. This language clearly teaches
14 synchronism and coding so as to provide a binary signal having
15 transitions. The synchronicity and the coding are the exact types
16 of problems that the present invention solves. Claim 1 limitation
17 provides "a pulse width having a duration representative of the
18 analog input signal" When the analog signal is "coded", it loses
19 its pulse width that would represent the analog value during
20 transmission. Hence, the communicated signal is neither binary,
21 synchronous, nor having a pulse width representing analog value.

22
23 Palmer is cited for the proposition that sigma delta
24 modulators have been used with lasers. Agreed, but, so what? The
25 novel aspect of the invention is directed to the particularly
26 claimed type of laser modulation over the optical communication
27 medium, and that is, "a pulse width having a duration
28 representative of the analog input signal". Palmer teaches a

1 coherent laser transmission communication system, inherently
2 having, the required and necessary, optical modulation using "an
3 embedded clock" at Col 2 lines 5-12. What the examiner is
4 attempting to do, is to take, a precursor condition, that is,
5 transforming an analog signal into one represented by a pulse
6 width, admitted prior art, and a constituent aspect of the
7 synchronized signal of Beauducel, while ignoring the
8 synchronization aspect of Beauducel and Palmer for purposes of
9 transmission, and then jumps to the claimed invention without any
10 suggestion to do so, and contrary to the explicit teachings of
11 Beauducel and Palmer, smacking of forbidden hindsight
12 reconstruction.

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1 The examiner also relies upon the phrase "the data stream is
2 self synchronizing", taken in isolation, unfairly so. What the
3 examiner fails to take into account, is that a digital filter on
4 the receiver side effectively filters the transmitted signal so as
5 to determine the pulse width and hence to reconstruct the analog
6 value, and in this sense, the "data stream is self synchronizing".
7 Applicant never stated that the binary signal had bit transitions
8 within the pulse width, used codes, or frames, or any other
9 signaling method that would render the communication signal as
10 being synchronously communicated. The examiner's reference to "the
11 data stream" in the context of an overall system explanation to
12 the particular binary signal having "a pulse width" just smacks of
13 grasping for any justification to reject the claims, by applying
14 applicant's words to different features and out of context, and
15 hence, shows a demonstrable bias of being unfair and impartial to
16 the present application.

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18 With kind due respects, applicant requests that another
19 examiner be assigned to the present application, which examiner
20 should have a basic understanding of electronic communications and
21 parlance and who can read cited references for they reasonably
22 teach.

23
24 Respectfully Submitted

25 *Derrick Michael Reid*

26 Derrick Michael Reid

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